

Harmonious Coloring: Parameterized Algorithms and Upper bounds

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Abstract. A harmonious coloring of a graph is a partitioning of its vertex set into parts such that, there are no edges inside each part, and there is at most one edge between any pair of parts. It is known that finding a minimum harmonious coloring number is NP-hard even in special classes of graphs like trees and split graphs.

We initiate a study of parameterized and exact exponential time complexity of harmonious coloring. We consider various parameterizations like by solution size, by above or below known guaranteed bounds and by the vertex cover number of the graph. While the problem has a simple quadratic kernel when parameterized by the solution size, our main result is that the problem is fixed-parameter tractable when parameterized by the size of a vertex cover of the graph. This is shown by reducing the problem to multiple instances of fixed variable integer linear programming.

We also observe that it is $W[1]$ -hard to determine whether at most $n - k$ or $\Delta + 1 + k$ colors are sufficient in a harmonious coloring of an n -vertex graph G , where Δ is the maximum degree of G and k is the parameter. Concerning exact exponential time algorithms, we develop a $2^n n^{\mathcal{O}(1)}$ algorithm for finding a minimum harmonious coloring in split graphs improving on the naive $2^{\mathcal{O}(n \log n)}$ algorithm.

1 Introduction and Motivation

Graph Coloring is the problem of partitioning the vertex set of a graph to satisfy some constraints. Coloring problems have been extensively studied in discrete mathematics and theoretical computer science. Given a coloring χ of a graph G , the set of vertices that receive the same color is said to be a *color class*. One of the most well-known coloring problems is the chromatic number problem that seeks the minimum number of colors required so that each color class induces an independent set (i.e. no pair of vertices in a set is adjacent), and it is one of Karp's 21 NP-complete problems from 1972 [18]. Lawler gave an algorithm for the problem running in time $2.4423^n n^{\mathcal{O}(1)}$ on an n -vertex graph [19]. Later, using the principle of inclusion-exclusion Björklund et al. [5] gave an algorithm running in time $2^n n^{\mathcal{O}(1)}$ on an n -vertex graph and this is the fastest known exact algorithm for the problem.

Different variants of the graph coloring problem have been studied in the literature. The ACHROMATIC NUMBER seeks the *maximum* number of colors required so that each color class induces an independent set, and there is at least one edge between every pair of color classes. A characterization for this problem was given in [14] using which one can obtain an FPT algorithm for ACHROMATIC NUMBER parameterized by the solution size (see Section 2.1 for definitions on parameterized complexity). The PSEUDO-ACHROMATIC NUMBER problem is a generalization of ACHROMATIC NUMBER, and does not demand that each color class induces an independent set. This problem is also FPT parameterized by the solution size [7]. Another related problem is the b -CHROMATIC NUMBER. Here the objective is to color the vertices with the same properties as that in ACHROMATIC NUMBER, but insist that in each color class there is a vertex that has a neighbor in every other color class. This problem was introduced in [2]. The problem is W[1]-hard when parameterized by the solution size [22].

In 1989, Hopcroft and Krishnamoorthy [15] introduced the notion of HARMONIOUS COLORING. A harmonious coloring of a graph is a partition of the vertex set into sets such that every set induces an independent set and additionally between any pair of sets, there is *at most* one edge. The minimum number of sets in such a partition is called the harmonious coloring number of the graph. Determining whether a graph has harmonious coloring using at most k colors is known to be NP-complete [15], even in trees [13], split graphs [3], interval graphs [3,6] and several other classes of graphs [6,12,13,3,16,4]. Polynomial time algorithms are known for some special classes of graphs [21], the most important being for trees of bounded degree [11].

In this paper, we initiate the parameterized complexity of the problem under natural parameterizations. With solution size k (the harmonious coloring number) as a parameter, there is a simple kernel on $O(k^2)$ vertices and edges, and this is discussed in Section 4.1. In this section, we also discuss parameterized complexity of parameterizing above or below some known bounds for harmonious coloring number. As the problem is NP-complete on trees, the problem parameterized by the treewidth or feedback vertex set is trivially para NP-hard. Our main result is that the problem is fixed-parameter tractable when parameterized by the size of the minimum vertex cover of the graph. This is shown by solving several (fixed-parameter tractable number of) bounded variable integer linear programming problems. This is developed in Section 4.2. In Section 5, we discuss exact exponential algorithms for harmonious coloring, and give an $2^{\mathcal{O}(n)}$ algorithm in split graphs, improving on the naive $2^{\mathcal{O}(n \log n)}$ algorithm. In Section 3, we develop improved upper bounds on the harmonious coloring number in terms of the vertex cover number and the maximum degree of the graph. Results marked with a (\star) have their proofs in the Appendix.

2 Preliminaries

We use \mathbb{N} and \mathbb{Z} to denote the set of natural numbers and set of integers, respectively. For $n \in \mathbb{N}$ we use $[n]$ to denote $\{1, \dots, n\}$. We use standard notations

from graph theory [9]. By “graph” we mean simple undirected graph. The vertex set and edge set of a graph G are denoted as $V(G)$ and $E(G)$ respectively. The complement of a graph G , denoted by \overline{G} , has $V(G)$ as its vertex set and $\binom{V(G)}{2} \setminus E(G)$ as its edge set. Here, $\binom{V(G)}{2}$ denotes the family of two sized subsets of $V(G)$. The *neighborhood* of a vertex v is represented as $N_G(v)$, or, when the context of the graph is clear, simply as $N(v)$. The *closed neighborhood* of a vertex v , denoted by $N[v]$, is the subset $N(v) \cup \{v\}$. For set U , we define $N(U)$ as union of $N(v)$ all vertices v in U . If $U = \emptyset$ then $N(U) = \emptyset$. For two disjoint subsets $V_1, V_2 \subseteq V(G)$, $E(V_1, V_2)$ is set of edges where one end point is in V_1 and another is in V_2 . An edge in the set $E(V_1, V_2)$ is said to be *going across*. A *trivial* component of graph is a component which does not contain any edge. A *non-trivial component* of a graph is a connected component of G that has at least two vertices. The function $d_G : V(G) \times V(G) \rightarrow \mathbb{N}$ corresponds to the minimum distance between a pair of vertices in the graph G . A d -degenerate graph is a graph G where $V(G)$ has an ordering in which any vertex has at most d neighbors with indices lower than that of the vertex. For a graph G , a set $S \subseteq V(G)$ is called a *vertex cover* of G if $G - S$ is an independent set. A graph G is called a *split graph* if $V(G)$ has a bipartition (V_1, V_2) such that $G[V_1]$ is an induced clique and $G[V_2]$ is an induced independent set. In this case, $(G[V_1], G[V_2])$ is called a *split partition* of G . Any split graph does not contain a 4-cycle (C_4), a 5-cycle (C_5) or the complement of a 4-cycle ($2K_2$) as an induced subgraph. The finite set of graphs $\{C_4, C_5, 2K_2\}$ is said to be a *finite forbidden set* of the class of split graphs. Each graph in the finite forbidden set is referred to as a *forbidden structure*.

A function $h : V(G) \rightarrow [k]$, where k is a positive integer, is called a *coloring function*. For a coloring function h and for any $i \in [k]$, the vertex subset $h^{-1}(i)$ is called the i^{th} *color class* of h . If no edge has both its end points in the same color class then coloring function is said to be *proper*. *Harmonious coloring* is a proper coloring with additional property that there is at most one edge across any two color classes. The minimum number of colors required for a harmonious coloring of a graph G is denoted by $\text{hc}(G)$. The restriction of a coloring function h to a subset $V' \subseteq V(G)$, denoted by $h|_{V'}$, is a coloring function such that $h|_{V'} : V' \rightarrow [k]$, and $h|_{V'}(u) = h(u)$ for each vertex $u \in V'$. In this case, h is said to be an *extension* of $h|_{V'}$. For a subset $V' \subseteq V(G)$, $h(V') = \{i | h^{-1}(i) \cap V' \neq \emptyset\}$.

The technical tool we use to prove that HARMONIOUS COLORING is fixed-parameter tractable (defined in next section) by size of vertex cover is the fact that INTEGER LINEAR PROGRAMMING is fixed-parameter tractable parameterized by the number of variables. An instance of INTEGER LINEAR PROGRAMMING consists of a matrix $A \in \mathbb{Z}^{m \times p}$, a vector $b \in \mathbb{Z}^m$ and a vector $c \in \mathbb{Z}^p$. The goal is to find a vector $x \in \mathbb{Z}^p$ which satisfies $Ax \leq b$ and minimizes the value of $c \cdot x$ (scalar product of c and x). We assume that an input is given in binary and thus the size of the input is the number of bits in its binary representation.

Proposition 1 ([17], [20]). *An INTEGER LINEAR PROGRAMMING instance of size L with p variables can be solved using $\mathcal{O}(p^{2.5p+o(p)} \cdot (L + \log M_x) \cdot \log(M_x \cdot M_c))$ arithmetic operations and space polynomial in $L + \log M_x$, where M_x is an upper*

bound on the absolute value a variable can take in a solution, and M_c is the largest absolute value of a coefficient in the vector c .

2.1 Parameterized complexity

The goal of parameterized complexity is to find ways of solving NP-hard problems more efficiently than brute force by associating a *small* parameter to each instance. Formally, a *parameterization* of a problem is assigning a positive integer parameter k to each input instance and we say that a parameterized problem is *fixed-parameter tractable (FPT)* if there is an algorithm that solves the problem in time $f(k) \cdot |I|^{\mathcal{O}(1)}$, where $|I|$ is the size of the input and f is an arbitrary computable function depending only on the parameter k . Such an algorithm is called an FPT algorithm and such a running time is called FPT running time. There is also an accompanying theory of hardness using which one can identify parameterized problems that are unlikely to admit FPT algorithms. The hard classes are $W[i]$, $i \in \mathbb{N}$. For the purpose of this paper, it is enough to know that the INDEPENDENT SET problem is $W[1]$ -hard [10].

A parameterized problem is said to be in the class para-NP if it has a nondeterministic algorithm with FPT running time. To show that a problem is para-NP-hard, we need to show that the problem is NP-hard when the parameter takes a value from a finite set of positive integers.

Another direction of research is in providing a refinement of the FPT class, through the concept of *kernelization*. A parameterized problem is said to admit a $h(k)$ -kernel if there is a polynomial time algorithm (the degree of the polynomial is independent of k), called a *kernelization* algorithm, that reduces the input instance to an instance with size upper bounded by $h(k)$, while preserving the answer. If the function $h(k)$ is polynomial in k , then we say that the problem admits a polynomial kernel. For more on parameterized complexity, see the recent book [8].

3 Upper and Lower Bounds and Structural Results

In this section, we give some general upper bounds of harmonious coloring number based on other natural graph parameters and show some structural results which are used later in our algorithms.

Observation 1 *For a given graph G and two vertices u, v , if u and v belong to the same harmonious color class then $d_G(u, v) > 2$.*

Definition 1 (Identify). *For a graph G , identifying a vertex set U of $V(G)$ is the operation of deleting U , adding a new vertex w and the edge set $\{wx \mid x \notin U, \exists u \in U \text{ and } xu \in E(G)\}$.*

Observation 2 (\star) *For a graph G , let ϕ be a harmonious coloring. Suppose the graph G' is formed by identifying a color class of ϕ . Then $\text{hc}(G) = \text{hc}(G')$.*

Lemma 1 (*). *Let G be a graph without isolated vertices, X be a vertex cover of G , and let H be the auxiliary graph defined such that $V(H) = V(G - X)$ and for $u, v \in V(H)$, $uv \in E(H)$ if $d_G(u, v) = 2$. A coloring function h of G , where (i) $h(X) \cap h(V(G - X)) = \emptyset$, (ii) $h(i) \neq h(j)$ for all $i \neq j \in X$, is a harmonious coloring of G if and only if $h|_{V(G-X)}$ is a proper coloring of H .*

Let $hc(G)$ denote the harmonious coloring number of a graph G , $\Delta(G)$ denote the maximum degree of the graph, and $vc(G)$ denote the vertex cover number of G . We use Δ if the graph G is clear from the context. We show the following bound for general graphs.

Theorem 1. *For any graph G with $\Delta \geq 2$, $\Delta + 1 \leq hc(G) \leq vc(G) + \Delta(\Delta - 1)$.*

Proof. By Observation 1, any two vertices in the same harmonious color class should be at a distance three or more from each other. This implies that for any vertex u , every vertex in its closed neighbourhood gets a separate color. Since this is true for a vertex with the highest degree, lower bound on harmonious coloring follows.

We first construct a coloring with $vc(G) + \Delta(\Delta - 1) + 1$ many colors and then apply a trick to save one color. Construct a coloring $\phi : V(G) \rightarrow [vc(G) + \Delta(\Delta - 1) + 1]$ in the following greedy fashion : Color each vertex in vertex cover with separate color. For any vertex which has not been colored yet, use the smallest available color which is not used either by vertex in vertex cover or a vertex which is at distance 2. Since the number of neighbours is bounded by Δ , the number of colors which are forbidden for the vertex is bounded by $vc(G) + \Delta(\Delta - 1)$. Hence for any vertex, we have at least one color available and ϕ can be defined over entire $V(G)$.

We now argue that ϕ is harmonious coloring. Since each vertex in the vertex cover gets separate colors and these colors are not shared by other vertices, two end points of an edge are colored differently making ϕ a proper coloring. Assume that there are two different edges u_1v_1 and u_2v_2 such that $\phi(u_1) = \phi(u_2)$ and $\phi(v_1) = \phi(v_2)$. Without loss of generality, let u_1 be in the vertex cover. Since color class which contains vertex cover is a singleton set, $u_1 = u_2$. If v_1 is colored first, then while coloring v_2 , the color used for v_1 is forbidden as $d(v_1, v_2) = 2$. Hence this situation will not happen when greedily coloring vertex set concluding that ϕ is a harmonious coloring of graph G .

We now show how to save one color from this coloring using a similar idea from [1]. Let X be the vertex cover. If our greedy coloring above used only $\Delta(\Delta - 1)$ colors to color vertices of $V(G) \setminus X$, then we are already done. Otherwise, pick any vertex u in X . We recolor u using a color used by vertices in $V(G) \setminus X$. Let u be adjacent to $i \leq \Delta - 1$ vertices in X (If all neighbors of u are in X , then u can be moved out of X , without loss of generality). Hence there are at most $i(\Delta - 1)$ vertices in $V(G) \setminus X$ which are at distance two from vertex u . There are at most $\Delta - i$ vertices adjacent to u in $V(G) \setminus X$. Colors used by all these vertices can not be used to recolor vertex u because of Observation 1 but u can be colored with any other color. Thus the number of colors forbidden is $i(\Delta - 1) + \Delta - i = i(\Delta - 2) + \Delta$. But $i(\Delta - 2) + \Delta \leq (\Delta - 1)(\Delta - 2) + \Delta = \Delta(\Delta - 1) - \Delta + 2 \leq \Delta(\Delta - 1)$ when

$\Delta \geq 2$ and hence we can always find a color to recolor vertex u reducing the upper bound by 1. \square

The upper bound is tight for C_4 , a cycle on 4 vertices.

Theorem 2 (\star). *If G is a d -degenerate graph, then $\Delta + 1 \leq \text{hc}(G) \leq \text{vc}(G) + d(\Delta - 1) + \Delta(d - 1) + 1$.*

The following corollary follows from Theorem 2 as a forest is 1-degenerate.

Corollary 1. *If G is a forest then $\Delta + 1 \leq \text{hc}(G) \leq \text{vc}(G) + \Delta$.*

The upper bounds in Theorem 1 and Corollary 1 improve respectively the bounds of Theorem 6 and Theorem 4 of [1].

4 Parameterized complexity of Harmonious Coloring

4.1 ‘Standard’ and ‘Above/Below guarantee’ parameterizations

In this subsection, we capture some easy observations on the parameterized complexity of harmonious coloring under some standard parameterizations. We start with the following theorem whose proof (given in appendix) follows from the observation that if the number of edges is ‘large’, then the harmonious coloring number has to be large.

Theorem 3 (\star). *Let G be a graph on n vertices and m edges. HARMONIOUS COLORING, parameterized by the number of colors used, is FPT with a quadratic kernel.*

The proof of the above theorem suggests that the harmonious coloring number of most graphs is large with respect to the number of vertices. The number of vertices n is a trivial upper bound and Theorem 1 gives a lower bound of $\Delta + 1$ for the harmonious coloring number of a graph. So the natural question is: is it FPT to determine whether one can harmoniously color using at most $n - k$ or $\Delta + k + 1$ colors where the parameter is k . We prove the following theorem.

Theorem 4 (\star). *(i) It is $W[1]$ -hard to determine whether a given n -vertex graph has harmonious coloring number at most $n - k$ where k is the parameter. (ii) It is para-NP-hard to determine whether a given graph has a harmonious coloring number at most $\Delta + 1 + k$ where Δ is the maximum degree of the graph, and k is the parameter.*

4.2 Parameterization by size of Vertex Cover

As the HARMONIOUS COLORING is NP-complete on trees, it is trivially para NP-hard when parameterized by the treewidth of the graph or the feedback vertex set size of the graph. In this section, we consider the structural parameterization by the well-studied vertex cover number of the graph. We describe an FPT algorithm

for HARMONIOUS COLORING when parameterized by the size of a vertex cover of the input graph. We show that the problem reduces to several instances of INTEGER LINEAR PROGRAMMING. We assume that the input graph G has no isolated vertices. Otherwise, for any harmonious coloring of the input graph G , we can include the set of isolated vertices into any one of the color classes.

In case of structural parameters, sometimes it is necessary to demand a witness of the required structure as part of the input. However, when the size of a vertex cover is the parameter, this is not a serious demand. Suppose the input parameter is ℓ . We find a 2-approximation of the minimum vertex cover of the input graph G (pp 11,[23]). If the size of the approximate vertex cover is strictly more than 2ℓ , then we have verified that the input parameter does not correspond to a valid vertex cover number of G . Otherwise, the approximate vertex cover is of size 2ℓ and we can use this vertex cover as a witness. Thus, we may assume that we are solving the following problem.

VC-HARMONIOUS COLORING	Parameter: $ X $
Input: A graph G , a vertex cover X of G , a non-negative integer k	
Question: Is there a harmonious coloring of G with k colors?	

The idea is to enumerate over all the possible harmonious coloring of $G[X]$ and for each harmonious coloring, verify whether it can be extended to G using a total of k colors. As we will see, the problem of extending harmonious coloring of $G[X]$ to the entire graph is equivalent to that of finding harmonious coloring of the graph such that each color class contains at most one vertex from the vertex cover. We first observe some properties of such a harmonious coloring.

In the remaining section, unless stated otherwise, G is the input graph with vertex cover X of size ℓ and $I = V(G) \setminus X$ is an independent set.

Observation 3 (\star) *If there is a harmonious coloring of G such that each color class contains at most one vertex from the set X then the size of a color class is at most $\ell + 1$.*

For each vertex u in I we associate a **brand**.

Definition 2. *The brand of a vertex v in I with respect to X is the set $N(v)$.*

The number of different **brands** is upper bounded by the number of nonempty subsets of X which is $2^\ell - 1$. For vertices u, v in I if $\mathbf{brand}(u) \cap \mathbf{brand}(v) \neq \emptyset$ then $d_G(u, v) = 2$ and by Observation 1 these two vertices can not belong to the same harmonious color class. For $S \subseteq X$, we define set $I(S) = \{v \in I \mid \mathbf{brand}(v) = S\}$.

Consider a harmonious coloring $h : V(G) \rightarrow [k]$ and two vertices u, v in I , such that $\mathbf{brand}(u) = \mathbf{brand}(v)$. Let $h(u) = i$ and $h(v) = j$. Define a coloring \tilde{h} on $V(G)$ as $\tilde{h}(w) = h(w)$ for all w in $V(G) \setminus \{u, v\}$, and $\tilde{h}(u) = j$ and $\tilde{h}(v) = i$.

Observation 4 (\star) *For a given harmonious coloring h of G , let u, v be two vertices in I such that $\mathbf{brand}(u) = \mathbf{brand}(v)$. If coloring \tilde{h} is as defined above then \tilde{h} is also a harmonious coloring of G .*

Thus we can characterize a harmonious color class based on the **brand** of the vertices which are part of it. Once the **brands** which make up the color class are fixed, it does not matter which vertex having that **brand** is chosen for the color class. This leads us to the definition of a **type** of a potential color class.

Definition 3 (type). A type Z with respect to X is a $\ell + 1$ sized tuple where the first entry is subset of X of cardinality at most 1, and each of the remaining ℓ entries is either \emptyset or a distinct **brand** of a vertex in I .

A type Z can be represented as $(Y; S_1, S_2, \dots, S_\ell)$ where Y is either an empty set or a singleton set from X . All the entries in this tuple are subsets of X but we distinguish the first entry from the remaining entries. The number of different types is at most $\ell \cdot \binom{2^\ell}{\ell}$, which is at most $\ell \cdot 2^{\ell^2}$. Any color class C which contains at most one vertex from the vertex cover and at most ℓ vertices from the independent set can be labeled with some type.

Definition 4 (Color Class of type Z). Let h be a harmonious coloring of G such that each color class contains at most one vertex from X , and let $Z = (Y; S_1, S_2, \dots, S_\ell)$ be a type defined with respect to X . Color class C of h is of type Z if $C \cap X = Y$ and for every $u \in C \cap I$ there exists S_i in type Z such that $\text{brand}(u) = S_i$.

Not all the types can be used to label a harmonious color class. We define the notion of valid types to filter out such types.

Definition 5 (Valid type). A type $Z = (Y; S_1, S_2, \dots, S_\ell)$ is said to be valid if all the sets in the family $\{N[Y], S_1, S_2, \dots, S_\ell\}$ are pairwise disjoint.

The validity constraints imply that if a vertex set is labeled with a valid type Z , then for any u, v in that set, the minimum distance between u and v is strictly greater than 2. Only the valid types can be used to label harmonious color classes.

Definition 6 (Compatible types). Two valid types $Z = (Y; S_1, S_2, \dots, S_\ell)$ and $Z' = (Y'; S'_1, S'_2, \dots, S'_\ell)$ are said to be compatible with each other if $|Y \cap (S'_1 \cup S'_2 \cup \dots \cup S'_\ell)| + |Y' \cap (S_1 \cup S_2 \cup \dots \cup S_\ell)| \leq 1$.

The compatibility condition of types encodes that the number of edges running across two harmonious color classes is at most 1. Two harmonious color classes C and C' can be of type Z and Z' respectively only if these two types are compatible with each other.

Lemma 2. Let C and C' are two disjoint sets of $V(G)$ of valid types $Z = (Y; S_1, S_2, \dots, S_\ell)$ and $Z' = (Y'; S'_1, S'_2, \dots, S'_\ell)$ respectively. $|E(C, C')| \leq 1$ if and only if Z and Z' are compatible with each other.

Proof. (\Rightarrow) If $|E(C, C')| = 0$ then there is no edge across C' and C and hence $|Y \cap (S'_1 \cup S'_2 \cup \dots \cup S'_\ell)| = |Y' \cap (S_1 \cup S_2 \cup \dots \cup S_\ell)| = 0$ making types Z and Z' compatible. Consider the case when $|E(C, C')| = 1$. With out loss of

generality, let $x \in C \cap X$ and $z' \in C'$ and xz' is the edge across C and C' . For any u in $C \setminus X$, $E(\{u\}, Y') = \emptyset$ implying $N(u) \cap Y' = \emptyset$ which is equivalent to $|Y' \cap (S_1 \cup S_2 \cup \dots \cup S_\ell)| = 0$. Since xz' is the only edge across C and C' , $|Y \cap (S'_1 \cup S'_2 \cup \dots \cup S'_\ell)|$ is 0 or 1 depending on whether z' is in X or not. In either case, **types** Z and Z' are compatible.

(\Leftarrow) If $|Y \cap (S'_1 \cup S'_2 \cup \dots \cup S'_\ell)| = |Y' \cap (S_1 \cup S_2 \cup \dots \cup S_\ell)| = 0$ then there is no edge across C and C' whose one end point is outside vertex cover X . Since Y and Y' has cardinality of at most 1, $|E(C, C')| \leq 1$. So now we are in a case where $|Y \cap (S'_1 \cup S'_2 \cup \dots \cup S'_\ell)| + |Y' \cap (S_1 \cup S_2 \cup \dots \cup S_\ell)| = 1$. Without loss of generality, assume that $|Y \cap (S'_1 \cup S'_2 \cup \dots \cup S'_\ell)| = 1$. This imply that there is an edge whose one end point is in Y and another end point is in $C' \setminus Y'$. Also, $|Y' \cap (S_1 \cup S_2 \cup \dots \cup S_\ell)| = 0$ implies that there is no edge with one end point incident on Y' and another end point in $C \setminus Y$. The only thing that remains to argue that in this situation $E(Y, Y') = \emptyset$. If this is not the case then $Y \cap N(Y') \neq \emptyset$. But there exists S'_i such that $Y \cap S'_i \neq \emptyset$. Since Y is singleton set, this implies $N(Y') \cap S'_i \neq \emptyset$ which contradicts the fact that **type** Z' is valid. Hence $E(Y, Y') = \emptyset$ which concludes the proof of $|E(C, C')| \leq 1$. \square

For a given graph G and a vertex cover X of G , we construct a set \mathcal{Z} consisting of all **types** with respect to X which are valid. For every subset \mathcal{Z}' of \mathcal{Z} such that any two **types** in \mathcal{Z}' are compatible with each other, we construct an instance $\mathcal{J}_{\mathcal{Z}'}$ of INTEGER LINEAR PROGRAMMING as follows.

We define a variable z_i as the number of color class of **type** Z_i used in the coloring. In the following objective function, we encode the aim of minimizing number of color classes used.

$$\text{minimize } \sum_{i=1}^{|\mathcal{Z}'|} z_i$$

For every $S \subseteq X$ and $j \in [|\mathcal{Z}'|]$ define

$$b_j^S = 1 \text{ if there is brand } S \text{ in type } Z_j; \text{ otherwise } 0$$

There are at most $|I(S)|$ many vertices of **brand** S .

$$\sum_{j=1}^{|\mathcal{Z}'|} z_j \cdot b_j^S = |I(S)| \quad \forall S \subseteq X \quad (1)$$

For every $x \in X$ and $j \in [|\mathcal{Z}'|]$ define

$$c_j^x = 1 \text{ if } \{x\} \text{ is the first entry in type } Z_j; \text{ otherwise } 0$$

There can be at most one color class which contains vertex x in X .

$$\sum_{j=1}^{|\mathcal{Z}'|} z_j \cdot c_j^x = 1 \quad \forall x \in X \quad (2)$$

Corollary 2. *An instance $\mathcal{J}_{\mathcal{Z}'}$ can be solved in time $2^{\mathcal{O}(2^{\ell^2} \cdot \ell^3)} n^{\mathcal{O}(1)}$.*

Proof. The number of variables in instance $\mathcal{J}_{\mathcal{Z}'}$ is $|\mathcal{Z}'|$ which is upper bounded by $\ell \cdot 2^{\ell^2}$. The maximum value, any variable z_i can take, is n and the largest value any coefficient in the objective function can take is 1. The coefficients in the constraints are upper bounded by n . The number of constraints is at most $2^\ell + \ell$. By Proposition 1, instance $\mathcal{J}_{\mathcal{Z}'}$ can be solved in time $2^{\mathcal{O}(2^{\ell^2} \cdot \ell^3)} n^{\mathcal{O}(1)}$. \square

Recall that for a given graph G and its vertex cover X , \mathcal{Z} is the set of all valid types with respect to X and \mathcal{Z}' is a subset of \mathcal{Z} such that any two types in \mathcal{Z}' are compatible with each other.

Lemma 3 (\star). *Given a graph G with a vertex cover X , an integer k , there exists a harmonious coloring of G with at most k colors and each color class contains at most one vertex from X if and only if there exists $\mathcal{Z}' \subseteq \mathcal{Z}$ such that the minimum value for an instance $\mathcal{I}_{\mathcal{Z}'}$ is at most k .*

This leads us to the main theorem of this section.

Theorem 5 (\star). **HARMONIOUS COLORING**, *parameterized by the size of a vertex cover of the input graph, is fixed-parameter tractable.*

While it is an interesting open problem to improve the bound of the FPT algorithm, we show that when the input graph is a forest, the bound can be substantially improved to show the following.

Theorem 6 (\star). *Given a forest G , a vertex cover X of size ℓ , we can find the minimum harmonious number, and the corresponding coloring of G in $2^{\mathcal{O}(\ell^2)} n^{\mathcal{O}(1)}$ time.*

The main reason for the improved bound is that the number of brands for vertices in $V(I)$ comes down to at most $2\ell - 1$ (from $2^\ell - 1$). Also, except for ℓ brands, all others have at most one vertex having that brand. Furthermore, we can run through some careful choices and avoid solving the integer linear programming. The details are in Appendix.

5 Exact algorithm on Split Graphs

As the number of vertices is a trivial upper bound for the harmonious coloring number, a naive algorithm to find the minimum harmonious number runs through all the n^n possible colorings to find the minimum number. It is known that HARMONIOUS COLORING on Split graphs is NP-Complete. In this section, we give an exact algorithm for HARMONIOUS COLORING on the class of split graphs improving on this $2^{n \log n}$ bound to $2^n n^{\mathcal{O}(1)}$. We make use of a relation between a harmonious coloring of a split graph and a proper coloring of an auxiliary graph to obtain our improved algorithm. We can relate the number of colors required for a harmonious coloring of the graph G with that for a harmonious coloring of its non-trivial component.

Observation 5 (\star) *Let G be an input split graph with $E(G) \neq \emptyset$ and let C be a non-trivial component of G . Then $\text{hc}(G) = \text{hc}(C)$.*

Proposition 2 ([21]). *For any harmonious coloring h of G and a split-partition (K, I) , each vertex in K must be given a distinct color.*

As a corollary to Lemma 1, we obtain the following relation in split graphs.

Corollary 3 (\star). *Let G be a connected split graph with a split-partition (K, I) , and let H be the auxiliary graph defined from G as in the statement of Lemma 1. A coloring function h is a harmonious coloring of G if and only if (i) $h(C) \cap h(I) = \emptyset$, (ii) each vertex of K gets distinct color, and (iii) $h|_I$ is a proper coloring of H .*

Theorem 7. *Given a split graph G , there is an algorithm, running in $2^n n^{\mathcal{O}(1)}$ time, that computes the minimum harmonious coloring of graph G .*

Proof. By Observation 5, we can assume that G is a connected graph. Let (K, I) be a split partition of G . By Proposition 2, in any harmonious coloring of G , each vertex of K must get a distinct color. Also, by connectivity, each vertex in $V(I)$ must be adjacent to a vertex in $V(K)$. Hence, in any harmonious coloring of G , the vertices of $V(I)$ must be colored distinctly from the vertices of $V(K)$. From Corollary 3, the minimum proper coloring of the auxiliary graph H gives the minimum harmonious coloring of G extending the coloring of K . Thus, it is enough to find the minimum proper coloring of H , which can be done in time $2^n n^{\mathcal{O}(1)}$ using the algorithm of Björklund et al. [5]. \square

We obtain an improved FPT algorithm for split graphs as a corollary.

Corollary 4 (\star). *Given a split graph G and a non-negative integer k , we can determine whether G has a harmoniously coloring with at most k colors in $2^{\mathcal{O}(k^2)} n^{\mathcal{O}(1)}$ time.*

6 Conclusions

We have shown that the harmonious coloring problem is fixed-parameter tractable when parameterized by the harmonious coloring number or the vertex cover number. While improving the bounds for our FPT algorithms is a natural open problem, we end with the following specific open problems.

- When parameterizing by k , the harmonious coloring number, can the kernel size of $O(k^2)$ be improved?
- When parameterizing by the vertex cover number ℓ , is there a $c^\ell n^{\mathcal{O}(1)}$ algorithm, for some constant c , at least on trees?

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A Missing proofs from Section 3

Proof of Observation 2

Proof. Let C^* be the color class of ϕ which has been identified to a vertex w^* . In one direction, ϕ can be modified naturally to become harmonious coloring of G' and hence $\text{hc}(G') \leq \text{hc}(G)$.

In the other direction, let ψ' be any harmonious coloring of G' . Let C_1, C_2, \dots, C_L be the color classes formed by same. Without loss of generality, let w^* belong to the color class C_1 . We define a coloring function ψ on $V(G)$ such that $\psi(u) = \psi'(u)$ if $u \neq w^*$, and $\psi(u) = \psi'(w^*)$ if u is in color class C^* . Thus, the color classes of ψ are $C_1 \cup C^*, C_2, \dots, C_L$. By definition of C^* , ψ is a proper coloring as every color class is still an independent set. We prove that ψ is also harmonious coloring. Suppose not. Then there are two color classes with more than one edge going across. Since ψ' was a harmonious coloring of G' , it must be the case that one of this pair of color classes is $C_1 \cup C^*$. Suppose the other color class is C_i and two edges going across are $(u_1, v_1), (u_2, v_2)$. It must also be true that one of the edges going across has an endpoint in C^* . Without loss of generality, suppose that $u_1 \in C^*, u_2 \in C_1 \cup C^*, v_1, v_2 \in C_i$. By definition of identifying a vertex subset, in the color classes C_1 and C_i , of ψ' on $V(G')$, the edges (w, v_1) and (u_2, v_2) (or (w, v_2) in case u_2 is also in C^*) are going across. This contradicts the fact that ψ' is a harmonious coloring of G' . Therefore, ψ must be a harmonious coloring of G . Hence $\text{hc}(G) \leq \text{hc}(G')$. \square

Proof of Lemma 1

Proof. First, suppose the function h is a harmonious coloring of G . Since we have assumed that the graph G has no isolated vertices, each vertex in $V(G - X)$ must be adjacent to a vertex in X . By the first property of h , the vertices of $V(G - X)$ must be colored distinctly from the vertices of X . For contradiction's sake, let $h|_{V(G-X)}$ not be a proper coloring of H . Then there is an edge (u, v) in $E(H)$ such that both end vertices are given the same color. This edge is present because, in G there was a vertex $w \in X$ such that $(u, w), (w, v) \in E(G)$. The definition of $h|_{V(G-X)}$ implies that $h(u) = h(w)$. Hence, due to the edges (u, w) and (w, v) , h cannot be a harmonious coloring of G . Thus, $h|_{V(G-X)}$ is a proper coloring of H .

For the converse, let h be a coloring function of G such that $h(X) \cap h(V(G - X)) = \emptyset$, and each vertex of X gets a distinct color under h , and $h|_{V(G-X)}$ is a proper coloring of H . We prove that h must be a harmonious coloring of G . Suppose not. This is possible when (a) an edge of $E(G)$ is not properly colored by h , or (b) there is a pair of color classes that have more than one edges going across. Suppose that an edge in $E(G)$ is not properly colored. This edge must be present between a vertex $v \in X$ and a vertex $w \in V(G - X)$. By definition, $h(v) \neq h(w)$, and this contradicts the assumption that the edge is not properly colored. Therefore, h is a proper coloring of G . Next, suppose there is a pair i, j , such that the color classes V_i and V_j have at least 2 edges going across. That is,

there are vertices $u_1, u_2 \in V_i$ and $v_1, v_2 \in V_j$ such that $(u_1, v_1), (u_2, v_2) \in E(G)$. As h is a function where each vertex in X gets a distinct color, both vertices in each of the color classes cannot belong to X . Also, a vertex of X cannot take the color of a vertex of $V(G - X)$. Since there are no isolated vertices, an edge in $E(G)$ must have one end point in X while the other end point is in $V(G - X)$. Therefore, the only possibilities are that $u_1 = u_2 \in X$ while $v_1 \neq v_2 \in V(G - X)$ or $v_1 = v_2 \in X$ while $u_1 \neq u_2 \in V(G - X)$. Without loss of generality, let it be the case that $u_1 = u_2 \in X$ and $v_1 \neq v_2 \in V(G - X)$. Then, in the auxiliary graph H , $(v_1, v_2) \in E(H)$. Since $h|_{V(G-X)}$ is a proper coloring of H , this contradicts the fact that v_1 and v_2 belong to the same color class of the function h . Thus, it must be the case that h is a harmonious coloring of G . \square

Proof of Theorem 2

Proof. We apply a similar greedy algorithm as in the Theorem 1. With d -degeneracy sequence of graph G , we are able to bound the number of colors which are forbidden by $d(\Delta - 1) + \Delta(d - 1)$ which for small d is a better bound than $\Delta(\Delta - 1)$. Let $\sigma = v_1 v_2 \dots v_n$ be d -degeneracy order of graph G . For a given vertex v , *left*-adjacent vertices are those adjacent vertices which precedes v and *right*-adjacent vertices are those which follows v in the ordering σ . The number of *left*-adjacent vertices is upper bounded by d for any vertex.

We construct a coloring $\phi : V(G) \rightarrow [vc(G) + d(\Delta - 1) + \Delta(d - 1) + 1]$ in the following way. Color each vertex in vertex cover with separate color. For remaining vertices, we color them in the order in which they appear in σ . For any vertex which has not been colored yet, use the smallest available color which is not used either by vertices in the vertex cover or a vertex which is at distance 2 from it. Number of colors which are used to color the neighborhood of *left*-adjacent vertices is at most $d(\Delta - 1)$. The number of *right*-adjacent vertices is upper bounded by Δ . All the vertices which are colored precede vertex v and hence all of its *right*-adjacent vertices. Number of vertices which are colored and part of neighbourhood of *right*-adjacent vertices is at most $\Delta(d - 1)$. For any vertex we have at least one color available and hence ϕ is defined over $V(G)$.

The argument that $\phi(G)$ is a harmonious coloring is identical to that presented in Theorem 1. \square

B Missing proofs from Section 4.1

Proof of Theorem 3

Proof. From the definition, it must be the case that $\binom{k}{2} \geq m$, as otherwise it is a NO instance. Thus, given the parameter k , we check if $\binom{k}{2} \geq m$. If not, then we return NO. Otherwise, delete all the isolated vertices of the graph (as they can be inserted into any color class). Now our input graph has at most $\binom{k}{2}$ edges and at most $2\binom{k}{2}$ vertices. Thus, the trivial brute force algorithm runs in time $2^{\mathcal{O}(k^2 \log k)} n^{\mathcal{O}(1)}$. Notice that we also have a kernel with at most $k^2/2$ edges and k^2 vertices. \square

Proof of Theorem 4

Proof. In [15], an NP-hardness reduction was given from the INDEPENDENT SET problem to the harmonious coloring problem, we provide it for completeness. Given a graph G on n vertices, and an integer k as the instance of the INDEPENDENT SET problem, we construct G' as follows. The vertex set consists of the vertex set of G , a new universal vertex v (that is made adjacent to all vertices of $V(G)$) and a set K of k other vertices. Regarding edges, we maintain the original edges of G , and make the new vertex v adjacent to every vertex of G . The set K of vertices is made into a clique. Now it is easy to see that G has an independent set of size k if and only if G' can be harmoniously colored with at most $n + 1$ colors. As the number of vertices in G' is $N = n + 1 + k$, we have that G has an independent set of size k if and only if G' has a harmonious coloring with at most $N - k$ colors where N is the number of vertices of G' . This shows this problem is $W[1]$ -hard as independent set problem is $W[1]$ -hard.

The same reduction shows the problem of determining whether G can be harmoniously colored with at most $\Delta + 1$ colors is NP -complete (as $\Delta(G') = n$) and so the problem of determining whether one can harmoniously color a graph with at most $\Delta + 1 + k$ vertices is para- NP -hard. \square

Proof of Observation 3

Proof. The graph G does not have any isolated vertex. Hence, every vertex in $V(G) \setminus X$ is connected to at least one vertex in X . Assume that there are $\ell + 1$ vertices from $V(G) \setminus X$ in one color class. By pigeon hole principle, at least two vertices must have a common neighbor in X . This contradicts Observation 1. Therefore, any harmonious color class can have at most ℓ many vertices from $V(G) \setminus X$ and at most 1 vertex from X . \square

Proof of Observation 4

Proof. If two vertices have the same brand then they have identical neighborhoods. Since h is proper coloring of G , $N(u) \cap h^{-1}(i) = N(v) \cap h^{-1}(i) = \emptyset$ implying that $\tilde{h}^{-1}(i)$ is an independent set. Using similar arguments, $\hat{h}^{-1}(j)$ is also an independent set. For k_1 in $[k] \setminus \{i, j\}$, $\hat{h}^{-1}(k_1) = h^{-1}(k_2)$ and hence \tilde{h} is a proper coloring of $V(G)$.

To prove that \tilde{h} is a harmonious coloring, we show that there is at most one edge between any two color classes. Since $N(u) = N(v)$, for any $k_1, k_2 \in [k]$, $|E(h^{-1}(k_1), h^{-1}(k_2))| = |E(\hat{h}^{-1}(k_1), \hat{h}^{-1}(k_2))|$. Since h is a harmonious coloring, $|E(h^{-1}(k_1), h^{-1}(k_2))| \leq 1$ which concludes the proof. \square

Proof of Lemma 3

Proof. (\Rightarrow) Consider a harmonious coloring $\phi : V(G) \rightarrow [k]$ such that every color class contains at most one vertex from set X' . We will construct \mathcal{Z}' such

that minimum value for an instance $\mathcal{I}_{\mathcal{Z}'}$ is at most k . Let C be one of the color classes. By Observation 3, there are at most ℓ vertices from I in C . If there exists u, v in $C \cap I$ then by Observation 1, $d(u, v) > 2$ and hence $\mathbf{brand}(u) \cup \mathbf{brand}(v) = \emptyset$. If there exists x in $C \cap X$ then by Observation 1, $d(u, x) > 2$ and $\mathbf{brand}(u) \cup N(x) = \emptyset$. Hence we can label each color class with one of the types in \mathcal{Z} . Let \mathcal{Z}' be an inclusion-wise minimal set which contains all the types of color classes. For any two types Z_1 and Z_2 in \mathcal{Z}' , look at the corresponding color classes C_1 and C_2 which are of types Z_1 and Z_2 respectively. Since ϕ is harmonious coloring, $|E(C_1, C_2)| \leq 1$ and hence by Lemma 2 two types are compatible.

If we substitute z_i equal to the number of color classes of type Z_i then it is easy to see that all the constraints specified in instance $\mathcal{J}_{\mathcal{Z}'}$ are satisfied. The value of objective function is upper bounded by number of color classes which is k .

(\Leftarrow) For subset \mathcal{Z}' of \mathcal{Z} and some assignment of $(z_1, z_2, \dots, z_{|\mathcal{Z}'|})$ in $\{1, 2, \dots, n\}^{|\mathcal{Z}'|}$, constraints 1 and 2 are satisfied and objective function of $\mathcal{J}_{\mathcal{Z}'}$ attains the value at most k . Using this solution of integer linear programming, we will construct a harmonious coloring ϕ of graph G which uses at most k colors.

For vertex x in X , since constraint (2) is satisfied, there exists a unique $j \in [\mathcal{Z}']$ such that $z_j = 1$ and $c_j^x = 1$. Assign $\phi(x) = 1$. This constraint holds true for all the elements in X which gives unique assignment for each element in X . For u in I , let $\mathbf{brand}(u) = S$. Since constraint (1) is satisfied, there are exactly $I(S)$ many type Z_i in \mathcal{Z}' such that z_i is not equal to zero and $\mathbf{brand}(u)$ is contained in type Z_i . Assign $\phi(u) = i$. For every u in $I(S)$ we can assign unique i . Because of validity of constraints (1) and (2), every vertex gets assigned some value and hence ϕ is defined over $V(G)$.

We now prove that coloring ϕ is harmonious coloring. Notice that every type is valid in \mathcal{Z}' and hence every class formed by coloring ϕ is an independent set. Any two types in \mathcal{Z}' are compatible and hence by Lemma 2, classes associated with these types have at most one edge running across. This is true for any two color classes. The number of color classes is exactly equal the value of objective function of this instance which is at most k . This concludes the proof. \square

Proof of Theorem 5

Proof. Input of an instance contains a graph G its vertex cover X of size ℓ and an integer k . For a given vertex cover X , fix a harmonious coloring ϕ on $G[X]$. Let $C_1, C_2, \dots, C_{k'}$ are the color classes. Identify each of these color classes into singleton vertex $v_1, v_2, \dots, v_{k'}$ respectively to obtain graph G' . Set $X' = \{v_1, v_2, \dots, v_{k'}\}$ is a vertex cover of G' . By Observation 2, any harmonious coloring of G' is also a harmonious coloring of G . Moreover a harmonious coloring $\hat{\phi}$ of G' with the property that in every color class there is at most one vertex from set X' , is coloring of G which respects ϕ on $G[X]$. Hence it is sufficient to find coloring $\hat{\phi}$ on graph G' .

For G' and vertex cover X' construct \mathcal{Z} , a set of all valid type with respect to X' . For every subset \mathcal{Z}' of \mathcal{Z} check whether any two types in \mathcal{Z} are compatible or not. If they are compatible then construct an instance $\mathcal{J}_{\mathcal{Z}'}$ of integer linear

programming. If there exists a subset Z' such that the optimal value of $\mathcal{J}_{Z'}$ is at most k then return *YES*. Otherwise, we know that there is no harmonious coloring $\hat{\phi}$ on G' with desired properties. The correctness of this step follows from Lemma 3.

We now argue about the running time of algorithm. There are $2^{\mathcal{O}(\ell \log \ell)}$ many possible harmonious coloring of graph G . For a fixed coloring ϕ , we construct G' , X' and Z' in time $2^{\mathcal{O}(\ell^2)} \cdot n^{\mathcal{O}(1)}$. There are $2^{2^{\ell^2} \cdot \ell}$ many subsets Z' of Z . By Corollary 2, for each subset Z' , an instance $\mathcal{J}_{Z'}$ can be solved in time $2^{\mathcal{O}(2^{\ell^2} \cdot \ell^2)} \cdot n^{\mathcal{O}(1)}$. Combining all these results, the running time of algorithm is $2^{\mathcal{O}(2^{\ell^2} \cdot \ell^3)} \cdot n^{\mathcal{O}(1)}$. \square

C Proof of Theorem 6

Recall that the graph $G - X$ induces an independent set. We will denote $G - X$ as I . Recall the definition of **brands** for vertices from Definition 2. First we argue that the number of **brands** of vertices is at most $2\ell - 1$ if G is a forest.

Observation 6 *Consider a brand where the vertex of I has at least 2 neighbors in X . There can only be a single vertex of this brand.*

Proof. Suppose there are two vertices u, v with a **brand** such that both vertices have at least two common neighbors w, x in X . Then $\{u, v, w, x\}$ form a cycle in G . Since G is a forest, this is not possible. Hence, the claim holds. \square

Observation 7 *The number of brands where the vertex of I has at least 2 neighbors in X is at most $\ell - 1$.*

Proof. Since G is a forest, contracting an edge in G results in a graph that is still a forest. Consider a vertex $v \in V(I)$ which has a **brand** such that v has at least 2 neighbors in X . By Observation 6, v is the unique vertex with that **brand**. Select a neighbor $x \in X$ of v and contract the edge (v, x) . The new vertex formed due to this contraction is called x . This contraction results in a graph that is still a forest. Each contraction introduces edges between x and the other vertices in the **brand** of v . We continue this process till there are no vertices, with a **brand** having at least two neighbors in X . The graph G' obtained at the end of this series of contractions must still be a forest. This implies that $G'[X]$ is also a forest. Therefore, other than the original edges of $E(G)$, at most $\ell - 1$ new edges could have been added to $E(G'[X])$ due to the contractions. Each contraction introduced at least one new edges in the graph induced on X . Thus, the number of contractions is at most $\ell - 1$. This also means that the number of **brands** where a vertex of I has at least 2 neighbors in X is at most $\ell - 1$. \square

Now we show the following

Lemma 4. *Given a forest G , a vertex cover X of size ℓ such that each vertex in $V(G) \setminus X$ has exactly one neighbor in X , and a harmonious coloring h of $G[X]$ that colors all vertices of X distinctly, we can find the minimum harmonious coloring of G extending h in $2^{\mathcal{O}(\ell^2)} n^{\mathcal{O}(1)}$ time.*

Proof. Due to Observation 3, each color class is of size at most $\ell + 1$, for any harmonious coloring function \tilde{h} of G . Also, as each vertex of $I = V(G) \setminus X$ has exactly one neighbor in X , there are at most ℓ brands. At most one vertex from each brand can participate in any of the ℓ color classes of X , and at most ℓ vertices of I can participate in one class (due to Observation 3). Also due to Observation 4, for a brand with exactly one vertex from X , it does not matter which vertex of this brand is included in this color class. So we first guess out of the brands in I , which ones use a color used by any of ℓ vertices of X . Hence, there are at most 2^ℓ choices of extensions for each of the color class of X resulting in at most 2^{ℓ^2} extensions of the color classes of X using vertices from I .

The remaining vertices of I need to be colored with colors different from those of X with the only condition that no pair of vertices from a brand is colored the same. So we can construct an auxiliary graph where the (remaining) vertices of each brand induces a clique, and so the coloring problem on the remaining vertices of I results in the chromatic number problem on a disjoint union of cliques that can be solved in polynomial time. □

Proof. (of Theorem 6) As in the proof of Theorem 5, we enumerate over all possible harmonious colorings of X , and then we will use Lemma 4. But for that, we need to ensure that X and the harmonious coloring of X satisfy the hypothesis of Lemma 4. Let Y be the set of vertices in I that have at least two neighbors in X . Let $X_1 = X \cup Y$. Clearly X_1 is a vertex cover of G , and by Observation 7, $|X_1| \leq 2\ell - 1$ and X_1 is a vertex cover such that every vertex in $V(G) \setminus X_1$ has exactly one neighbor in X_1 and hence X_1 satisfies the hypothesis of Lemma 4. So we now use X_1 instead of X in Lemma 4. To ensure that the harmonious coloring of X_1 colors all vertices of X_1 distinctly, we simply identify the color classes of X_1 in the harmonious coloring of X_1 as in the proof of Theorem 5. Now for each such harmonious coloring of $G[X_1]$, we use Lemma 4 to determine an extension to G using the minimum number of colors. The number of possible harmonious colorings of X_1 is $2^{\mathcal{O}(\ell \log \ell)}$. This, along with the result of Lemma 4, gives an algorithm with the required running time. □

D Missing proofs of Section 5

Proof of Observation 5

Proof. We first show that G can have at most one non-trivial component. Suppose G has two non-trivial components, C_1 and C_2 . By definition, there is an edge $(u_1, v_1) \in E(C_1)$ and an edge $(u_2, v_2) \in E(C_2)$. The graph $G[\{u_1, v_1, u_2, v_2\}]$ forms a $2K_2$, which is a forbidden structure for a split graph. Hence, a split graph can have at most one non-trivial component.

Now, we know that all the components of G , except C , are isolated vertices. First, a harmonious colouring of G , when restricted to the vertices in $V(C)$, gives a harmonious colouring of C . Thus, what remains is to show that a harmonious coloring of C can be extended to a harmonious coloring of G without using any

extra colors. Let h be a harmonious coloring of C . Pick any one color, which has been used in C , and color all the trivial components of G . This gives us a coloring function h' for G , where $h'|_C = h$. Notice that, any edge of $E(G)$ is an edge of $E(C)$. Since h is a proper coloring of C , h' is a proper coloring of G . Suppose there is a pair of color classes of h' , which has two edges $e_1, e_2 \in E(G)$ going across. Since both edges must belong to $E(C)$, this contradicts the fact that h is a harmonious coloring of C . Therefore, h' is a harmonious coloring of G , and the number of colors used by h' and h are the same. \square

Proof of Corollary 3

Proof. First, suppose the function h is a harmonious coloring of G . Because of Proposition 2, $|V(K)| \leq k$. Also, since we have assumed that the graph G is connected, each vertex in $V(I)$ must be adjacent to a vertex in $V(C)$. Hence, in any harmonious coloring of G , the vertices of $V(I)$ must be colored distinctly from the vertices of $V(K)$. Thus, by Lemma 1, $h|_I$ is a proper coloring of H .

The other direction of the proof follows from the sufficiency condition in Lemma 1. \square

Proof of Corollary 4

Proof. By Theorem 3, if $n > k(k-1)$ we can immediately say NO. Otherwise, $n \leq k(k-1)$ and we run the exact algorithm given in Theorem 7. This gives us the required running time. \square